

## A GENDER-INCLUSIVENESS IN PMTCT: MODEL PRESENTATION

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**Keywords:** PMTCT, AIDS,  
HIV

### **Abstract**

The paper offers a comprehensive review of the current discourse on the prevention of mother to child infection in HIV/AIDS. Within this, a special focus is given to South Africa and modifiable challenges to the effective implementation of programs of prevention are offered. The review affirms the lack of agreement within previous research about the range of consistent barriers to implementation and equally importantly, the range of interventions that can be effected to improve engagement in prevention programmes.

The paper also presents a literature review, which focuses much more specifically on related literary sources that look at the factors that play an important part in determining patterns of PMTCT service uptake. As acknowledged earlier, research on the uptake of PMTCT confirms that usage remains unexplainably low and more notably, the South African picture is characterised by less success than is acceptable. One of the key issues of concern has been the limited involvement that male partners have had with regard to PMTCT service usage even in the face of research that shows that spousal involvement and/or the involvement of partners offers noteworthy potential in motivating affected pregnant women to take up PMTCT services. To foreground this noted knowledge-gap, the current review of literature aims to provide a comprehensive overview of current viewpoints and existing research evidence, to determine prominent viewpoints in the study area.

In addition to the synthesis function, the review was concerned with drawing attention to the range of previously published challenges that exist when implementing PMTCT.

### **Introduction**

#### **Executive summary**

Miya (2014) explored and described lived experiences of females, males and health professional within prevention of mother to child HIV transmission in kwaZulu Natal public hospitals.

The study revealed the existing design of public hospitals was not wholly conducive to facilitating gender inclusiveness in maternal and child health services. Current resources were largely insufficient to support the participation of expectant mothers and any attempts to support the inclusion of males needed to be based on a clear increase in service provision, hence, Miya (2014) developed and gender inclusiveness model to facilitate a comprehensive HIV management during pregnancy.

### **Model development**

#### **Theory: an epistemological overview**

The term 'theory' is widely utilised within scholarly discourse and from this, it would be apt to assume that it's meaning has been universally accepted. By contrast, this is not the case as indicated by MacDonald (1998), who acknowledges the lack of agreement about what it means and argues that the term is so widely used that it risks being rendered meaningless. In support of this observation, researchers and care professionals have over the years used a plethora of terminologies, such as conceptual models, conceptual frameworks and schematic models in describing the term theory. This use of different terminologies does not only cause confusion for care professionals, but also for researchers alike. To minimise confusion and to enhance care professionals' and researchers' insight

into the notion of theory and its development, it is important, within the current discussion to provide an operationalised definition of this term.

To do this, a number of definitions are examined. Polit and Beck (2012) offer a seminal definition and it considers a theory as an “abstract generalisation that offers a systematic explanation about how phenomena are interrelated”. Embedded in this is the view that a theory has two related but distinct purposes; first in the explanation and secondly as a means to facilitate prediction. For example, a theory may provide explanations of how specific behaviours, such as non-adherence to treatment are related to outcomes like relapse in the specified condition. In relation to prediction, a theory may predict that the use of one intervention is more efficacious than the use of another less empirically tested alternative. Acknowledging these purposes, the definition proffered by Polit and Beck’s (2012) is specifically limited by its failure to take account of the descriptive element of theories. Flick (2006), offers an alternate definition and refers to theory as a set of categories that can thoroughly describe a single phenomenon. Such theories, which are sometimes referred to as descriptive theories are mainly employed in qualitative research for describing and categorising attributes possessed by individuals or groups or situations. Added to this, they serve as precursors to predictive and explanatory theories. Although different from Polit and Beck (2012), the latter definition is also limited as it only focuses on describing phenomena and their attributes. A widened review of the different definitions directed the researcher to a more inclusive definition by Walker & Avant’s (2011) which includes, not only what are considered as key elements of a theory, but also includes multiple purposes. In their definition, Walker and Avant (2011) view a theory as an,

*“internally consistent group of relational statements that presents a systematic view about a phenomenon that is useful for description, explanation, prediction, and prescription or control.”* (Walker and Avant, 2011)

The descriptive, explanatory, predictive, and prescriptive elements of the definition do not only represent its functions or purposes, but they also denote the different phases of theory development. Associated with the notion of theory development are the elements which theories are made up of. These are now discussed below, as theories cannot be understood in the absence of an understanding of their components.

### **Theory: A Reductionist Perspective**

A cursory review of the literature reveals that theories are in the main made up of three distinct but interdependent elements. These elements are referred to as concepts, statements and theories, and are discussed sequentially below. In his seminal work, Hardy (1974) described concepts as the basic building blocks of theories. A similar description of concepts is offered by Gilbert (2006) and Polit and Beck (2012), all of whom agree that concepts are mental images, constructs, ideas, or symbolic representations of a thing (such as a table and a computer) or an action. This means concepts serve as potent means of understanding and explaining the world in which we live. In other words, concepts can enable people to gain insight into their experiences and their surrounding environments. This is because of their ability to enable people to identify and categorise their experiences in meaningful ways. It is through language that such understanding is developed (Langdridge, 2007).

Statements represent important elements of theory development and precede explanations or predictions (Gilbert, 2006). The relationships among concepts, sometimes expressed as principles or prepositions, are in the main represented using terms like “it is directly associated with” and “varies inversely with”. This is an indication that statements in the context of theory development could assume relational or non-relational forms. Relational statements indicate their associations or relationships and may even include the direction of relationships. For example, the use of cannabis increases people’s chances of developing mental health problems. However, in some instances, a phenomenon of a “none relationship” may exist, meaning that the occurrence of one concept says nothing about or has no impact on the occurrence of another. Associated with relational statements are the non-relational ones, which according to Walker and Avant (2011) are employed to clarify or make explicit meanings in theories. For example, an attitude is the overall evaluation of performing behaviour. This example, which is simply a definition of an attitude, links well with the final component of a theory, entitled “theories”. Theories are sets of concepts and statements and to that end, a well-developed theory consists of relevant concepts and statements (principles) that are systematically organised to offer clear meanings of relationships as part of the articulation of the different approaches to theory development (Polit & Beck, 2012).

**Theory development: A review of central approaches**

Development of a theory is an iterative process that is usually guided by three interrelated approaches derivation, synthesis and analysis (Walker & Avant, 2011). Before continuing with this debate, it is fitting to offer brief explanations of these approaches followed by their application.

Derivation concerns the steps a theorist may take to transpose and re-structure any of the elements of a theory from one context or situation to another. This approach is not only applicable to subject areas where there are no theories, they can also be utilised in fields where existing theories are out dated and innovative ways of understanding the world are needed. Synthesis on the other hand relates to actions that theorists may employ to put together pieces of disjointed information to form a meaningful whole with the view of formulating a theory. From Polit & Beck's view, this approach is more applicable in circumstances where there are no explicit or clearly defined theoretical structures or frameworks. Generally, theorists use this approach in the data collection phase or analysis and interpretation phases of the research process. In a study of factors influencing patient's self-harming behaviours for example, researchers may use synthesis to arrange the factors into clusters as well as assigning names to the same. It is the same approach Smith, Flowers and Larkin (2007) employed in naming themes and super ordinate themes in interpretative phenomenological analysis. In fact, synthesis is a common approach used by qualitative researchers in formulating and naming emergent themes from data analysis.

Analysis is the final approach to theory development. It is about examining concepts, statements, and their relationships to each other and to the entire data set of a study (Newman, Smith, Pharris & Jones, 2008). Adopting this approach, allows for concepts, theories and statements to be refined, in other words it develops a better understanding of the phenomenon examined. Analysis, as an approach is applicable especially in situations where there is a body of extant and relevant literature to allow for the dissection of the whole into its component parts to better understand the same, as asserted by Bloom (1956).

The approaches thus far discussed may have to be repeated on several occasions before achieving a well formulated or refined theory. The iterative nature of the theory building process indicates that theory developers may move back and forth among the strategies or repeatedly employ a specific strategy until the desired quality of the theory developed is achieved. It must be stated that utilising a single approach may not address the needs for quality theory construction. Thus, the use of a mixture of approaches is recommended as the strength of one can help to minimise the impact of the weaknesses of the other. Even though this might be the case, theorists often require some guidance in strategy identification and selection process. In light of this, Walker and Avant (2011) offer some suggestions. They assert the view that theorists need to have a clear understanding of the area of interest that requires exploration, and should take into consideration the following issues before making their choice of strategies. These include the quality of concepts and statements, and data quality of reviewed articles in the context of subject of interest. Once a strategy is selected, Walker and Avant (2011) stipulate, it should be utilised until saturation point when it fails to generate new information about the subject of interest. This is a call for theorists to turn to another strategy. Such a sequential approach to theory development suggests that strategies are interdependent and interrelated despite the provision of individual discussions. These strategies can be applied in all the phases of theory construction. Figure 6.4, below offers a diagrammatical representation of the phases in theory development.

*Figure 6.4 Phases in Theory development*

**Theory development: Insights into application**

The approaches of choice identified for the development of the theory in this study are synthesis and analysis. The application of these approaches is demonstrated here using two intellectual processes, induction and deduction. The strategy of synthesis is inductive as it is data based. Analysis on the other hand may involve both theorising inductively and deductively. This is a qualitative study that utilised the process of synthesis for the generation of concepts from both the extant literature and study findings. This process was adopted iteratively until the point of theoretical saturation. It was at this point; the researcher commenced the application of the approach of analysis. This in essence involved a close examination of the concepts identified for both similarities and differences and clustering those that were similar into thematic categories. These are presented below in a theoretical framework to support gender inclusive practice (GIP) within PMTCT.

***Figure 6.5 - A Framework for Gender Inclusive Practice in PMTCT - (The G.I.P framework)***

The emergent framework is not a statistically predictive tool but rather a diagrammatic representation of factors that emerged through the research process and that have an influence on engagement with PMTCT services. The theoretical represents a concise point of reference that provides a means of conceptualising the complex influences involved in understanding access to services by males. Also, it is hoped that the elements contained within the framework will have a transferable practical utility for care professionals in developing an understanding of the difficulties males have in ensuring meaningful engagement with PMTCT services. Earp and Ennett (1991) suggest that theoretical frameworks and models,

“... allow the inclusion of processes or characteristics not grounded in formal theory, but that represent empirical findings or the experience of practising professionals” (Earp & Ennett, 1991).

As indicated above, the proposed theoretical framework adheres to the principal focal areas related to Structural, Process and Outcome processes as prioritised with the Donabedian model which was used in this study as a guiding theory for data collection and theory generation. As noted above, this emergent theoretical framework utilises the elements from Donabedian’s work but is not restricted to them as a reflection of the wider considerations that were reported on by participant populations. Notably, the theoretical framework identifies the following key factors that need modification within services to facilitate more gender inclusive services.

1. Service structure.
2. Primary resource capacitating.
3. Cultural inclusivity.
4. Service accessibility / Flexibility.
5. Gender based sensitivity.
6. De-stigmatisation Interventions.

Beyond the identification of the above as key “barrier entities” – the theoretical framework offers insights into corrective process modifications that service providers should include in service design and delivery thinking. The proposed theoretical framework represents an empirically supported eclectic guide on PMTCT service inclusivity and in spite of this will need to be subject to practice based testing. Even so, there are noteworthy strengths and weakness of the proposed framework and each are addressed below.

**Strengths and weaknesses of theoretical framework**

Although the framework developed serves as an informed identification of factors that may influence care provision and access, the identified factors are not weighted by importance, and the impact of each may vary from individual to individual. From a quantitative researcher viewpoint, this lack of weighting of influential factors can be seen as a weakness of this framework. However, it is consistently reiterated in the literature of qualitative research that human experiences are not quantifiable, and any attempt to quantify them can be seen as a direct contradiction of a key tenet of the current study.

From a qualitative methodology point of view, the researcher believes the framework has wider adaptability in its current form as it also takes into account individual variations in response to the influential factors. It serves as an educative support for care professionals in enhancing their understanding of the access needs of males within

PMTCT services and how these can be met in practice. Even though the framework has practical utility, there is scope for future researchers to test it using different alternate methodologies with the view to enhancing its practical value.

The theoretical framework is grounded on data elicited from a defined population in KZN in South Africa and as such, the identified influential factors may have limited application in describing issues as they relate to other areas and contexts. However, taking into account that data from an extended review of relevant and comprehensive literature contributed in the development of the framework, this structure may have wider applicability, meaning it can be applied to a wider population of PMTCT service users.

### Acknowledgements

The researchers wish to thank all the participants for time and assistance, as well as the related stakeholders who ensure the success of the current study.

### Competing interests

The authors declare that they no financial or personal relationship (s) which may have inappropriately influenced them in writing the article.

### Concluding statements

The results of this study highlight key barriers to the inclusion of males in PMTCT services and utilises these findings to propose a theoretical framework to promote male inclusion. The engagement of service-users, their partners and professionals simultaneously offers unique insights into this important area of study. Importantly, the study demonstrates that it is possible for researchers to simultaneously consider the attributions for male exclusion from three data without compromising the academic value of the study and in a way that has practical value to service-users and service providers alike. By virtue of treading on untested ground with respect to the multi-approach data collection stance adopted, the study has much to learn and will benefit from testing and critique from future researchers.

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